



PATENT

Case Docket No. HOUSEEL.0014
Date: August 11, 2003

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Li, et al.
Appl. No. : 09/997,551
Filed : November 27, 2001
For : COMPOSITIONS AND
METHOD FOR TREATING
THE OVER-PRODUCTION OF
MUCIN DISEASES SUCH AS
OTITIS MEDIA USING AN
INHIBITOR OF MUC5AC
Examiner : Unknown
Group Art Unit : 1614

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Patents, P.O. Box 1450, Alexandria, VA 22313-
1450, on

August 11, 2003
(Date)

Marina L. Gordey, Reg. No. 52,950

TRANSMITTAL LETTER

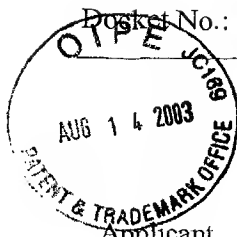
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Enclosed for filing in the above-identified application are:

- (X) An Information Disclosure Statement.
- (X) A PTO Form 1449 with three (3) references.
- (X) The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Account No. 11-1410.
- (X) Return prepaid postcard.

[Signature]
Marina L. Gordey
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Agent of Record
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(805) 547-5580



INFORMATION DISCLOSURE STATEMENT

Applicant : Li, et al.
App. No. : 09/997,551
Filed : November 27, 2001
For : COMPOSITIONS AND METHOD FOR
TREATING THE OVER-PRODUCTION OF
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Examiner : Unknown
Group Art Unit : 1614

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Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Enclosed is form PTO-1449 listing three (3) references that are also enclosed.

This Information Disclosure Statement is being filed before the mailing date of a final action under 37 C.F.R. § 1.113 and before the mailing date of a Notice of Allowance under § 1.311. A certification under 37 C.F.R. § 1.97(e) is set forth below. Thus, no fee is required as set forth below in 37 C.F.R. § 1.97(c).

CERTIFICATION UNDER 37 C.F.R. § 1.97(e)(1)

I hereby certify that each item of information contained in this Statement was first cited in a communication from a foreign Patent Office in a counterpart foreign application not more than 30 days prior to the filing of this Supplemental Information Disclosure Statement.

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated: August 11, 2003

By: 

Marina L. Gordey
Registration No. 52,950
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FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.
HOUSEE1.001AAPPLICATION NO.
09/997,551INFORMATION DISCLOSURE STATEMENT
BY APPLICANT

(USE SEVERAL SHEETS IF NECESSARY)

APPLICANT
Li, et al.FILING DATE
November 27, 2001GROUP
1614

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)

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FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO

EXAMINER INITIAL	OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)
	Slomiany, B. et al., "Porphyromonas gingivalis lipopolysaccharide interferes with salivary mucin synthesis through inducible nitric oxide synthase activation by ERK and p38 kinase" <u>Biochemical and Biophysical Research Communications</u> . 11 Oct. 2002, Vol. 297, No. 5 pp 1149-115
	Qin, H. et al., "Effects of extracellular matrix on phenotype modulation and MAPK transduction of rat aortic smooth muscle cells in vitro." <u>Experimental and Molecular Pathology</u> . October 2000, Vol 69, No. 2 pp 79-90
	Thorpe, C, et al. "Shiga toxins stimulate secretion of interleukin-8 from intestinal epithelial cells." <u>Infection and Immunity</u> . 1999, Vol. 67, No. 11 pp 5985-5993

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EXAMINER	DATE CONSIDERED
*EXAMINER: INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED, INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.	